

HR CONFERENCE for LOCAL GOVERNMENT

Sexual Harassment:

Understanding #MeToo, #TimesUp, and Workplace Legal Compliance

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KrisAnn Norby-Jahner



J.D., Ph.D./ABD, M.A.

Bismarck Office

- Attorney and Adjunct Professor
- Employment Law
- ERISA and Benefit Compliance
- Wage and Hour Compliance
- Policy Development
- HR Counseling and Training
- Litigation/Administrative Complaints Discrimination
- Employment Mediation Qualified Neutral

Today's Discussion

- Intro: What is #MeToo | #TimesUp ?
- Ensuring Legal Compliance:
 - 1. Understand the Law.
 - 2. Understand the Complaint Processes.
 - 3. Understand the Employer's Obligation.
 - 4. Understand a Workplace Investigation.
 - 5. Understand that <u>Prevention</u> is Key!

2006: #MeToo

 <u>2006</u> – "Me Too" phrase coined by Tarana Burke to help women who had survived sexual violence.



October 5, 2017: #MeToo

Oct. 5, 2017 – Actress
 Ashley Judd accused
 media mogul Harvey
 Weinstein of sexual
 harassment of the "quid
 pro quo" variety in a
 New York Times article.



October 2017: #MeToo

- Oct 5: Amazon Studios head Roy Price resigns amid scandal of lewd behavior/propositions.
- Oct. 15: Actress Alyssa Milano invites #MeToo tweets.
- Oct. 18: Tweets begin by gymnasts against former team doctor Lawrence G. Nassar.

 Oct. 29: Accusations against actor Kevin Spacey.



November 2017: #MeToo

Series of Allegations:

- Roy Moore, Republican
 Senate nominee
- Louis C.K., comedian
- Matt Lauer, Today Show
- Garrison Keillor, MN
 Public Radio
- Russell Simmons, music, film and TV producer



December 2017: #MeToo

- <u>Dec. 6</u>: Time magazine names the "Silence Breakers" its 2017 Person of the Year
- <u>Dec. 7</u>: U.S. Sen. Al Franken, D-Minn. Resigns
- <u>Dec:</u> Numerous allegations continue in the film, TV, music, theater, restaurant industries



January 2018: #MeToo | #TimesUp

- #TimesUp is coined.
- Anti-harassment coalitions formed.
- Celebrities wear black to Golden Globes in protest.
- Second annual Women's March across the nation.



February 2018: #MeToo | #TimesUp

- Harvey Westein scandal and allegations against others in Hollywood continue.
- Many state legislatures begin reviewing their own sexual harassment policies and practices.



March 2018: #MeToo | #TimesUp

- Celebrities speak out at Oscars ceremony.
- Authorities charge Dean of Michigan State Univ. for failing to protect gymnasts against Dr. Nassar.
- Allegations emerge against high profile business owners and investors.



Where are we in April 2018? TIME'S =UP

- 1. Understand the Law.
- 2. Understand the Complaint Process and its necessity.
- 3. Understand the Employer's Obligation under the law.
- 4. Understand a Workplace Investigation and its importance.
- 5. Understand that <u>Prevention</u> is Key!

1. Understand the Law.

- It is NOT NEW!
- <u>1964</u> = Title VII prohibits discrimination in employment based on sex (among other protected classes).
- <u>**1985</u>**: ND Human Rights Act, NDCC 14-02-4, becomes law.</u>
- <u>1986</u> = U.S. Supreme Court held that Title VII prohibits sexual harassment.



Does this apply to me?

- <u>Title VII</u> applies to private and public employers with <u>15 or</u> <u>more employees</u> who worked for at least twenty calendar weeks in a given year; and it applies to ALL federal employers.
- ND Human Rights Act applies to all employers with <u>1 or more</u> <u>employees</u> for more than one quarter of the year; and it applies to <u>ALL public employers.</u>

YES

Sexual Harassment Definition

 Unwelcome verbal, visual, non-verbal or physical conduct of a sexual nature or based on someone's sex that is severe or pervasive and affects working conditions or creates a hostile work environment.





Two Forms

- 1. Tangible Job Action2. Hostile EnvironmentHarassment ("quid pro quo"):Harassment:
 - Member in protected class (i.e., sex-based)
 - Unwelcome sexual harassment.



- Harassment was based on sex.
- Must have legal basis for imposing liability on employer (i.e., employer must be responsible).
- Tangible job action occurred, affecting employee's compensation, terms, conditions, or privileges of employment.

 Harassment so severe and pervasive as to adversely alter conditions of employment & create abusive work environment.

When is there a basis for imposing LIABILTY on an employer?

- <u>When Supervisor is</u> <u>Harasser</u> = Strictly Liable/ Vicariously Liable
- When Co-Worker or <u>Third Party is Harasser</u> = Liable when employer knew or should have known about the sexual harassment.
- <u>Third Parties</u> = customers, independent contractors, etc.



How do you know what to do?

 <u>Guidance Given</u>: EEOC has issued numerous directives, compliance manuals, and related publications.



• <u>Oversight Given</u>: EEOC oversees Title VII claims; ND Department of Labor and Human Rights oversees NDHRA claims.



Sexual Harassment Examples Given

- Commenting on clothing, behavior, relationships or body
- Sex-based jokes
- Requesting sexual favors
- Denying advancements based on someone's sex
- Spreading rumors about sex life



- Threatening person for refusing sexual advances
- Physically blocking someone's movement
- Inappropriate or unwanted touching
- Staring at a person's body
- Sex-based gestures
- Displaying/distributing sex-based pictures, drawings, posts, e-mails, etc.

2. Understand the Complaint Processes.

 (A) Any employee who witnesses or experiences sexual harassment has a right (and sometimes obligation) to complain to the employer...

...AND **(B)** to the EEOC/ ND Department of Labor!



A. Employee Complains to Employer

- Have a clear complaint process & procedures in place.
- Make sure that process is communicated to employees.
- Do not *require* employees to confront/complain to harasser(s). Identify point person/people.

• Develop a sexual harassment complaint form.



Harassment Complaint Form

- Provide space for alleged harassment description.
- Allow complainant to mark information it wants kept confidential.



Provide Disclaimer –
confidentiality
maintained only to the
extent it is consistent
with employer's
obligation to identify
and remedy sexual
harassment.

What if alleged victim *does not* want to file a complaint?

- Document the victim's objection.
- Investigate to the extent possible in order to identify and remedy any harassment occurring.
- Because once on notice = duty to act!
- Investigate to the extent
 And also = others may be experiencing harassment.



B. EEOC / ND DOL Complaints

- Employee (current or former) fills out paperwork within 300 days of last harassment.
- EEOC/ ND DOL sends Employer a Charge of Discrimination.
- EEOC / ND DOL may recommend mediation/settlement.

- Investigator is assigned and proceeds with interviews, evidence review, etc.
- Investigator issues finding – harassment likely occurred or did not.
- Right to sue letter issued.

What to Know – EEOC / ND DOL Complaints

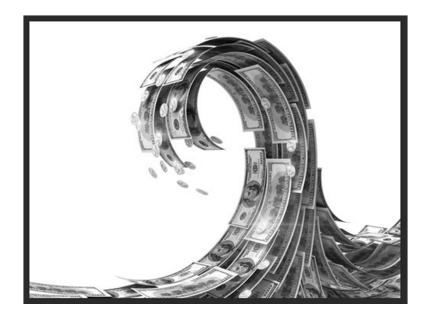
- Investigations are lengthy and timeconsuming.
- Have to spend significant time answering questions and producing documents.
- Have to participate in interviews.

- Have to be prepared to answer for your actions/ inactions.
- NO RETALIATION!!!



What may come next?

 Potential Mediation / Settlement



- Potential Lawsuit:
 - <u>Private employers</u> = injunctive relief, backpay/front pay, punitive damages, attorneys' fees, etc.
 - <u>Public employers</u> = sovereign immunity from money damages, BUT still subject to injunctive relief and declaratory judgment lawsuits.



3. Understand the Employer's Obligation

- AGAIN Liable for supervisor sexual harassment & coworker/third party sexual harassment that it knew or should have known about.
- So <u>KNOW</u> what is going on in your workplace.
- Have clear antidiscrimination policies in place that are <u>COMMUNICATED</u> regularly.
 - Respond <u>PROMPTLY</u> to complaints.



What to do if Employee Complains about Sexually Harassment?

INVESTIGATE!

- EEOC/ ND DOL investigation *does not* relieve employer from investigating on the front end.
- First Questions EEOC / ND DOL will ask:
 - Did you know?
 - What did you do?



Be Prepared...

- Did you know?
 - Watch for those Red
 Flags on a daily basis.
 - Communicate with employees.
 - Take every complaint seriously.



- What did you do?
 - Make Complaint Form available.
 - Separate alleged
 harasser from victim
 (but NO retaliation)
 - Document, document, document!
 - Conduct that investigation!

4. Understand a Workplace Investigation.

- Purpose:
 - Avenue for **prompt** response to complainant
 - Identify sexual harassment
 - Remedy sexual harassment
 - Alleviate legal liability!!





<u>Who</u> should investigate?

- Options:
 - Your Human Resource Department
 - -An attorney working in employment law
 - -An agency that offers investigative services
 - Any neutral party that the company trusts and/or seems appropriate

<u>What</u> should the investigation entail?

- Promptness
- Interviews
- Written statements
- Confidentiality (where possible)



- A Final Report that indicates:
 - Whether the alleged incident / policy violation occurred
 - Recommendations for workplace improvement/ further action

Complaints regarding an Employer's Response Arise <u>When</u>....

- Unclear Policies and Procedures (or employer failed to follow)
- Failure to respond to Complaints or Recommendations



- Supervisors fail to understand enhanced expectations of their roles:
 - Duty to report sexual harassment
 - Duty to prevent sexual harassment

What <u>Remedial Action</u> should be Taken?

- If Sexual Harassment is Confirmed:
 - Termination / Discipline / Restructuring positions
 - Workplace Anti-Harassment Training



- Review / Revise Anti-Harassment Policies
 & Procedures
- Restructure
- Ensure Retaliation DOES NOT occur!

What **Remedial Action** should be Taken?

- If Sexual Harassment IS NOT Confirmed:
 - Notify alleged victim:
 - Inability to credit or discredit his/her claim.
 - Do not suggest allegation was false.
 - Assure anti-harassment policy will be reiterated.
 - Notify the accused:
 - Claim not credited or discredited.
 - Reiterate anti-harassment policy and discipline policies.



5. Understand that <u>Prevention</u> is Key!

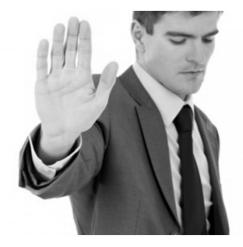
- <u>Defenses against Sexual Harassment claims</u>:
- Employee did not utilize clear complaint procedures (and employer had NO knowledge)
- Sexual harassment policy in place & followed.
- Employer conducted thorough investigation
- Employer took prompt action.



- No adverse action occurred against employee (compensation, terms, conditions privileges of employment)
- No hostile work
 environment shown

Sexual Harassment Policy

- Prohibit any and <u>all</u> harassment
- Identify <u>all</u> protected classes:
 - <u>Federal</u>: sex, race, color, religion, national origin, age, disability, genetic information
 - <u>State</u>: Same + marital status, public assistance, participation in lawful activity o employer's premises during nonworking hours which is not in direct conflict with employer's essential business-related interests.



Sexual Harassment Policy

- Provide clear complaint procedures
 - To whom, when, how, etc.
- Identify Investigation
 Procedure
- Explain policy against Retaliation



Sexual Harassment Policy

- Identify in <u>own section</u> or subsection of harassment policy
- Provide <u>Definition</u> of Sexual Harassment
- Give specific Examples of Prohibited Conduct



Anti-Harassment Training

- Educate your employees.
- Make your policies available.
- Regularly reiterate those policies.
- Offer ONGOING TRAINING to everyone!

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When in Doubt?

- Call your attorney!
- I'm always happy to help.









Save the Date! 05.02.18 | Bismarck, ND & 05.03.18 | Fargo, ND

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Wednesday, May 2, 2018 Ramkota Hotel Bismarck, ND Thursday, May 3, 2018 Hilton Garden Inn Fargo, ND

Seminar details and registration information coming soon!

Questions & Answers



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